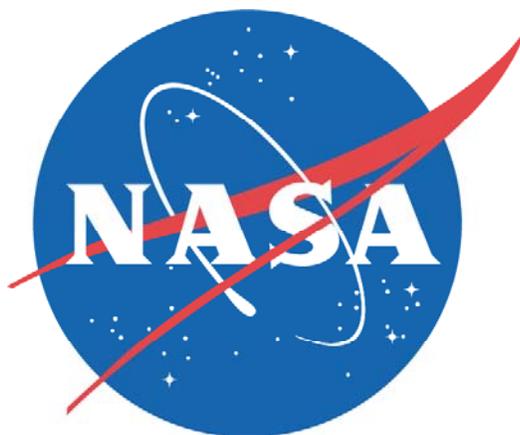


Responsible Office: NASA Headquarters Office of Space Science (OSS) [Code SJ]
NASA Management Office (Code SJ)

**Subject: Competing and Awarding Prime Contract for JPL
Operations**



**NASA Headquarters
Office of Space Science (OSS)**

Office Work Instruction

**Competing and Awarding Prime
Contract for JPL Operations**

Approved by: (Original signed by Dr. Earle K. Huckins) Date: (05/16/2001)
Dr. Earle K. Huckins
Deputy Associate Administrator for Space Science

Responsible Office: NASA Headquarters Office of Space Science (OSS) [Code SJ]
NASA Management Office (Code SJ)**Subject: Competing and Awarding Prime Contract for JPL Operations****DOCUMENT HISTORY LOG**

STATUS (BASELINE/ REVISION/ CANCELED)	DOCUMENT REVISION	EFFECTIVE DATE	DESCRIPTION
Baseline		02/01/1999	<ul style="list-style-type: none"> Initial "baseline" version of the OWI.
Revision	A	05/10/1999	<ul style="list-style-type: none"> Incorporates modifications responsive to NCRs #291, #293, #301, #302, #308, #311, #315, and #317 from the NASA HQ ISO-9001 Pre-Assessment audit.
Revision	B	11/16/1999	<ul style="list-style-type: none"> Deletes words "and Administering" from OWI title, modifies text in Section 1, Section 2, and Section 3, deletes Step #9 in Section 6 of Revision A, and deletes "Task Orders" and "Task Order Amendments" quality records in Section 5 and Section 7 because of creation of new HQOWI5135-S020 and HQOWI5135-S021 to separately address NMO administration of direct and reimbursable task orders. Incorporates modifications by Process Owner to process flow in Section 5 and process description in Section 6. Incorporates additional quality records into Section 5 and Section 7. Clarifies that the "Approximately 18 months prior to expiration of the existing JPL operations contract" deadline in Step #6.1 of Section 6 is a <u>self-levied milestone</u>, not an external mandatory requirement. Clarifies in Step #6.1 of Section 6 that the NMO creates the letter that the NASA Administrator signs to authorize use of an FFRDC (JPL) (which letter is therefore retained as an NMO quality record in Section 7). Adds "NOTE #3" in Section 7 to explain that the requisite evidence of approval of each of the listed quality records is incorporated <u>within</u> each record. Incorporates recent terminology and format standardization.
Revision	C	05/01/2000	<ul style="list-style-type: none"> Modifies the entry in Section 4 pertaining to the NASA/Caltech Prime Contract. Incorporates modifications by Process Owner to process flow in Section 5 and process description in Section 6 (e.g., combining Steps 6.5, 6.6, and 6.7).
Revision	D	05/16/2001	<ul style="list-style-type: none"> Incorporates new title of HCP3410-4B into Section 4. Incorporates url's for referenced documents into Section 4. Indicates linkage of specific paragraphs of FAR with steps in Section 5. Revises format of Section 5 and Section 6 (i.e., indicates process step numbers as <u>integers</u>). Incorporates modifications by Process Owner to process description in (old) Step #6.1 in Section 6. Revises "footer" to indicate both "internal" and "external" web sites for NASA HQ ISO 9001 Documentation Master List.

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1. PURPOSE

The purpose of this Office Work Instruction (OWI) is to define the process by which the Office of Space Science (OSS) competes and awards the prime contract for operation of the Jet Propulsion Laboratory (JPL).

2. SCOPE AND APPLICABILITY

- 2.1 This OWI for competing and awarding the prime contract for operation of the Jet Propulsion Laboratory is the primary responsibility of the Contracts Management Section of the NASA Management Office (NMO) for JPL.
- 2.2 Every five years, as the extant contract for operation of JPL nears the end of its performance period, the NMO initiates the process necessary to award a new contract, thereby ensuring critical NASA programs managed by JPL continue without interruption. The process documented in this OWI identifies the activities required to complete the process of recompeting the prime JPL contract.

3. DEFINITIONS

- 3.1 AA. Associate Administrator for Space Science.
- 3.2 Code H. NASA Headquarters Office of Procurement.
- 3.3 Commerce Business Daily (CBD). A listing of United States Government notices of proposed procurement actions and government awards, published each business day.
- 3.4 Federally Funded Research and Development Center (FFRDC). A designation permitted where critical Government research and development needs cannot be met as effectively through existing Government resources or normal contractor relationships, necessary to facilitate accomplishment of NASA mission-essential functions.
- 3.5 Jet Propulsion Laboratory (JPL). NASA's only FFRDC. It conducts solar-system exploration.

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Subject: **Competing and Awarding Prime Contract for JPL Operations**

3. **DEFINITIONS** *(concluded)*

- 3.6 Justification for Other than Full and Open Competition (JOFOC). A written document serving to justify award of a contract on a non-competitive basis.
- 3.7 NASA Management Office (NMO). The local NASA contracting authority for matters pertaining to operation of the Jet Propulsion Laboratory.
- 3.8 Request for Proposal (RFP). The release of a proposed procurement action to sources believed to possess the capacity, competence, and experience necessary to support the proposed requirement.

4. **REFERENCES**

- 4.1 ANSI/ISO/ASQC Q9001-1994
American National Standard, Quality Systems -- Model for Quality Assurance in Design, Development, Production, Installation, and Servicing
- 4.2 FAR 6.302-3 Federal Acquisition Regulation clause for justification permitting other than full and open competition
- 4.3 FAR 6.303 Federal Acquisition Regulation clause for justification permitting other than full and open competition
- 4.4 FAR 35.017-4 Federal Acquisition Regulation clause for comprehensive review of the use and need of an FFRDC
- 4.5 HCP1280-2 Corrective and Preventive Action
[http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP1280-2.html]
- 4.6 HCP1280-3 Internal Quality Audits
[http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP1280-3.html]

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4. REFERENCES *(concluded)*

- 4.7 HCP1400-1 Document and Data Control
[\[http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP1400-1.html\]](http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP1400-1.html)
- 4.8 HCP3410-4 Quality System Training
[\[http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP3410-4.html\]](http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HCP3410-4.html)
- 4.9 HQPC1150.1 NASA Headquarters Quality Council Policy Charter
[\[http://nodis.gsfc.nasa.gov/Library/Directives/HQ/\]](http://nodis.gsfc.nasa.gov/Library/Directives/HQ/)
- 4.10 HQSM1200-1 NASA Headquarters Quality System Manual
[\[http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HQSM1200-1.html\]](http://www.hq.nasa.gov/hqiso9000/library/iso9000_detail_HQSM1200-1.html)
- 4.11 NAS7-1407 NASA/Caltech Prime Contract
- 4.12 NPD 1000.1 NASA Strategic Plan
[\[http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___\]](http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___)
- 4.13 NPD 8730.3 NASA Quality Management System Policy (ISO 9000)
[\[http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=8___\]](http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=8___)
- 4.14 NPG 1000.2 NASA Strategic Management Handbook
[\[http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___\]](http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___)
- 4.15 NPG 1000.3 The NASA Organization
[\[http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___\]](http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___)
- 4.16 NPG 1441.1 NASA Records Retention Schedules (NRRS)
[\[http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___\]](http://nodis3.gsfc.nasa.gov/library/lib_docs.cfm?range=1___)

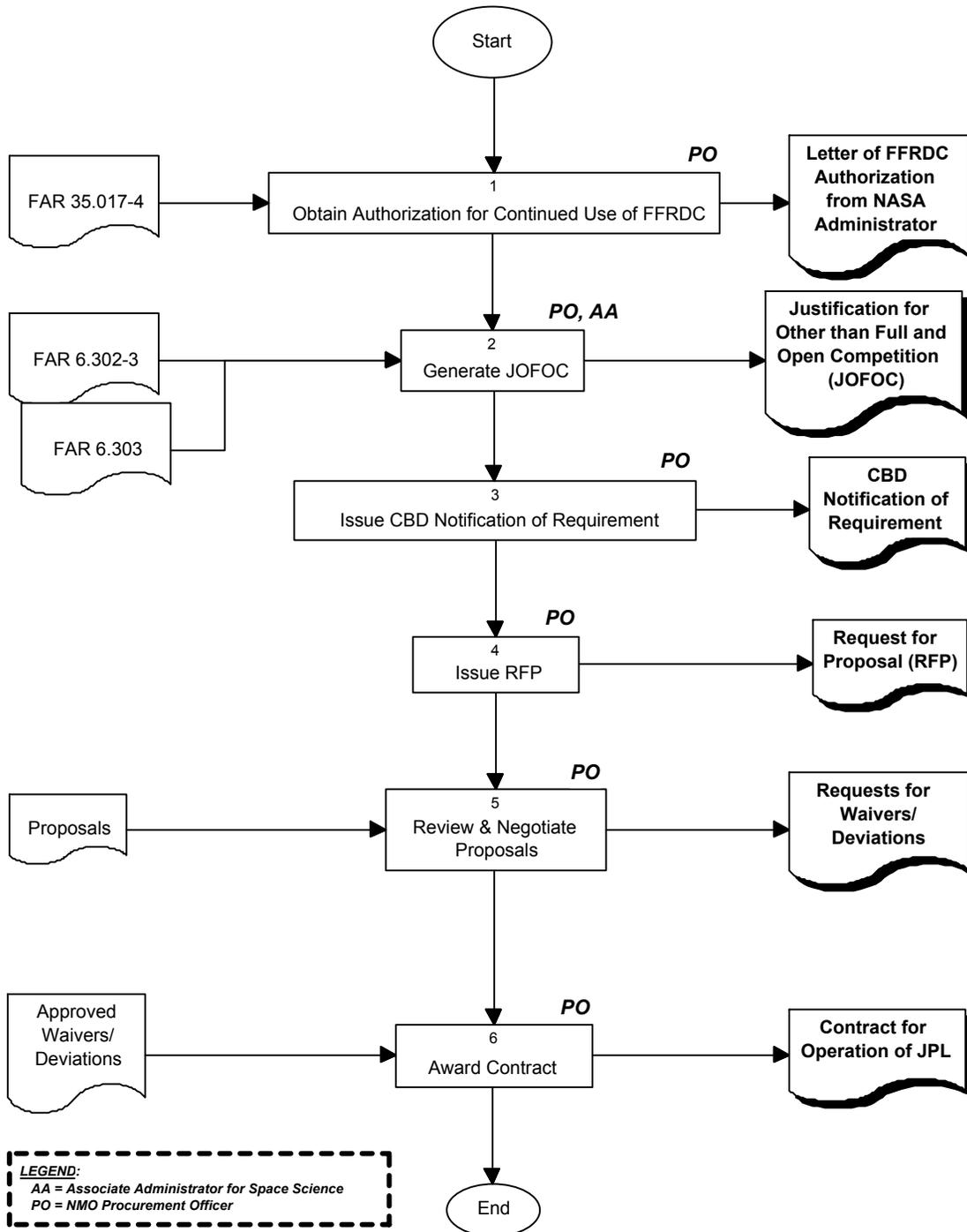
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5. FLOWCHART

[NOTE #1: Process steps are numbered in accordance with their corresponding step numbers in Section 6.]

[NOTE #2: "Quality records" are identified via bold-text titles and shadowing of the border of their symbols.]



Responsible Office: NASA Headquarters Office of Space Science (OSS) [Code S]
NASA Management Office (Code SJ)**Subject: Competing and Awarding Prime Contract for JPL Operations****6. PROCEDURE**

<u>STEP #</u>	<u>ACTION OFFICERS</u>	<u>DESCRIPTION</u>
1	NMO Procurement Officer	Approximately 18 months prior to expiration of the existing JPL operations contract (a self-levied goal, not a formal external requirement), validate the requirement for a successor contract and prepare appropriate documentation supporting the decision in accordance with FAR clause 35.017-4. <i>[This documentation is subject to approval by the Associate Administrator of the NASA Headquarters Office of Procurement (Code H). The NMO Procurement Officer creates a letter for the NASA Administrator to sign, providing written authorization for continued use of an FFRDC to satisfy NASA's space-science programmatic requirements.]</i>
2	NMO Procurement Officer AA	Prepare and recommend approval of a Justification for Other than Full and Open Competition (JOFOC) in accordance with FAR clauses 6.302-3 and 6.303 and forward it for approval by the Associate Administrator for Space Science.
3	NMO Procurement Officer	Following JOFOC approval, issue a notification in the Commerce Business Daily (CBD) of NASA's intent to award a contract for the operation of the Jet Propulsion Laboratory.
4	NMO Procurement Officer	Issue a Request for Proposal (RFP) to potential interested parties. <i>[Once the RFP is released, it specifies an expected period of time to review the document, clarify its content, and (if interested) submit a proposal responsive to the criteria contained in the RFP. In this way, NASA ensures its programs managed by JPL may proceed without interruption.]</i>

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<u>STEP #</u>	<u>ACTION OFFICERS</u>	<u>DESCRIPTION</u>
5	NMO Procurement Officer	<p>Review proposals submitted by offerers for conformity with the requirements identified in the RFP. To facilitate a timely and complete evaluation, the NMO Procurement Officer may route portions of the proposal to cognizant NASA Headquarters Functional Offices for review and comment.</p> <p>Develop a negotiation position. <i>[Negotiations with the selected source commence and continue until final agreement is reached on all terms and conditions of the new contract.]</i></p> <p>Generate requests for waivers/deviations from NASA regulations and/or Federal Acquisition Regulation (FAR) provisions (occasioned by the terms of the negotiated agreement) and submit them to the NASA Headquarters Office of Procurement (Code H) for approval. <i>[Code H approval is provided via a signed cover letter.]</i></p>
6	NMO Procurement Officer	<p>Award the new contract for managing JPL operations. <i>[The contract is a bi-lateral instrument, requiring the signatures of both the NMO Procurement Officer and a representative of the recipient.]</i></p>

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7. QUALITY RECORDS

RECORD IDENTIFICATION	OWNER	LOCATION	MEDIA: ELECTRONIC OR HARDCOPY	NPG 1441.1 SCHEDULE NUMBER AND ITEM NUMBER	RETENTION/ DISPOSITION
Letter of FFRDC Authorization from NASA Administrator	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.
Justification for Other than Full and Open Competition (JOFOC)	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.
CBD Notification of Requirement	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.
Request for Proposal (RFP)	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.
Requests for Waivers/Deviations	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.
Contract for Operation of JPL	NMO Procurement Officer	NMO Central File System (Contract Negotiations)	Hardcopy	Schedule 5, Item 1A1a	Destroy 6 years and 3 months after final payment.

[NOTE #1: These "quality records" are identified in Section 5 ("Flowchart") of this OWI via bold-text titles and shadowing of the border of their symbols.]

[NOTE #2: In accordance with NPG 1441.1 NASA Records Retention Schedules, "... installations' office of primary responsibility will maintain one official record copy ...; reference copies may be maintained for related work". Therefore, the "Retention" and "Disposition" aspects of quality records apply only to the one official record copy of each quality record.]

[NOTE #3: The evidence of approval (e.g., signature) for each of these quality records is contained within the records themselves.]